

AN14471

Using Hardware Security Module for Code Signing

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Application note

Document information

Information	Content
Keywords	AN14471, HSM, CST, SPSDK
Abstract	This application note describes how to generate the final signed image using tool CST or spsdk with an HSM.



1 Introduction

NXP i.MX RT processors provide the secure boot feature, which makes the hardware to have a mechanism to ensure that the software can be trusted. The secure boot feature is also known as a high-assurance boot (HAB).

The secure boot feature is based on public key infrastructure. The OEM can use it to make their product reject any system image, which is not authorized to run. For high levels of security, the OEM needs to control access and limit the risk to the sensitive private keys. A hardware security module (HSM) protects their private keys and handles cryptographic operations without exposing private keys.

This application note demonstrates how to generate the final signed image using the Code Signing Tool (CST) or spsdk with an HSM.

This document targets for the i.MX RT1170 hardware platform. The attached package for the i.MX RT1170 hardware platform is used as an example, although these steps can be applied to other i.MX RT platforms.

1.1 CST

The CST provides support to sign and encrypt images for use with high assurance boot (HAB) and advanced high assurance boot (AHAB) enabled NXP processors. The signatures generated by the CST can then be included as part of the end-product software image.

The CST accesses the keys used for signatures locally by default. If a user can run CST locally in the HSM server, we can use the elftosb tool to generate a bootable signed image for i.MX RT devices. The elftosb calls the CST to generate the signatures and pack everything to the boot ROM expects the boot image. For more information, refer to *How to use i.MXRT Security Boot* (document AN12079) or *How to use HAB secure boot in i.MX RT10xx* (document AN12681).

Referring to **Appendix B, Replacing the CST Backend Implementation** of the Code-Signing Tool User's Guide, NXP has architected the Code-Signing Tool in two parts: front-end and back-end. The front-end contains all the NXP proprietary operations, while the back-end containing all standard cryptographic operations. For a back-end replacement to interface with a PKCS#11 enabled HSM, refer to *Using Code-Signing Tool with Hardware Security Module* (document [AN12812](#)).

Sometimes, the OEM may want to sign the firmware from an HSM or server. Pass the signature to CST and run CST locally. The CST tool has been slightly modified to allow such an asynchronous operation by extracting the digests to be signed first and embedding the signatures back into the binary in the second step. It demonstrates the steps for this case in [Section 2](#).

Note: *The signature CST tool request is CMS format.*

1.2 SPSDK tool

Secure Provisioning SDK (SPSDK) is a unified, reliable, and easy to use Python SDK library working across the NXP MCU portfolio. It enables connection and communication with a target device for generation of secure bootable files image, security features configuration, and generation and management of cryptographic keys and certificates.

SPSDK allows the users to install plugins and integrate them with SPSDK functionality. A plugin signature provider allows using a custom provider for the authentication instead of keys stored on a local machine. Signature provider requires a custom implementation of an HTTP server with a simple API providing the authentication. SPSDK uses a simple flask REST API service representing the remote HSM machine. It describes the process of setting up signature provider and building an image signed by the signature provider in [Section 3](#). User can refer to the online document for the usage of a signature provider.

In this work, we are using SPSDK v2.1.0.

2 Using CST

This section describes how to change CST to generate the hash of an image, which can be used to generate the signature by HSM and then embedding the CMS signatures back into the binary.

2.1 CST workflow

The original CST workflow is described in [Figure 1](#).

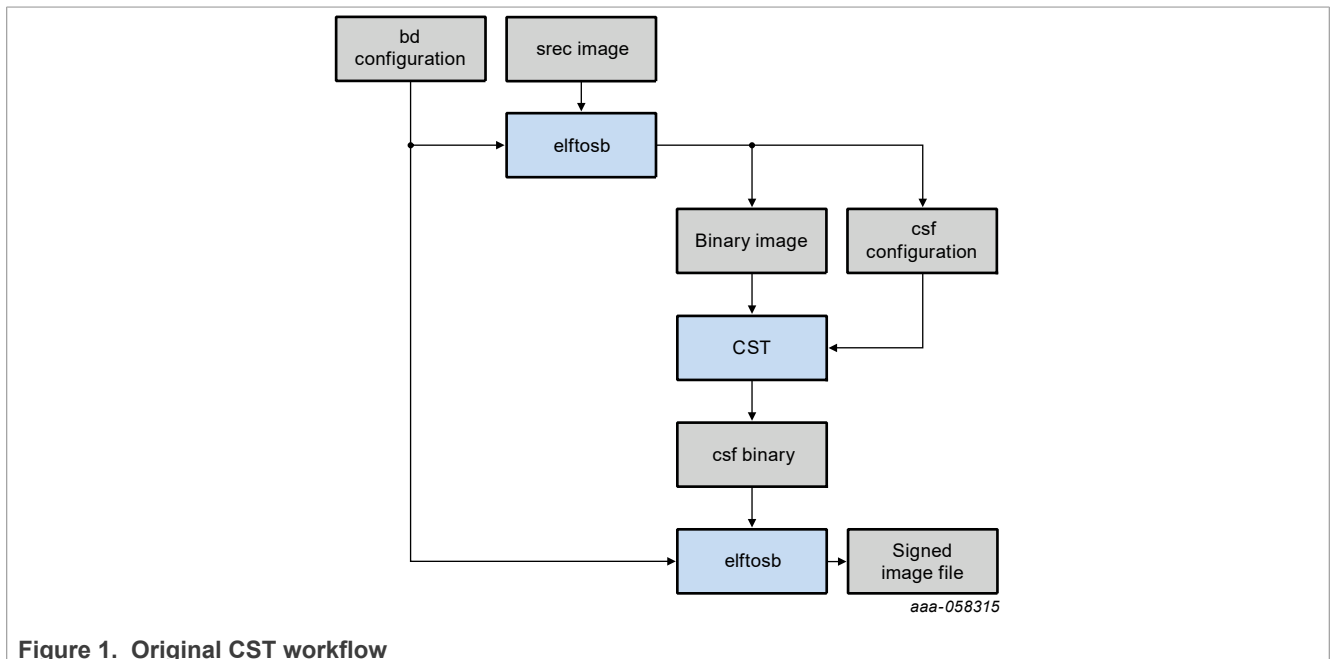


Figure 1. Original CST workflow

The CST tool is slightly modified to extract the digests to be signed first and embedding the signatures back into the binary in a second calling elftosb.

The modified tool workflow is described in [Figure 2](#).

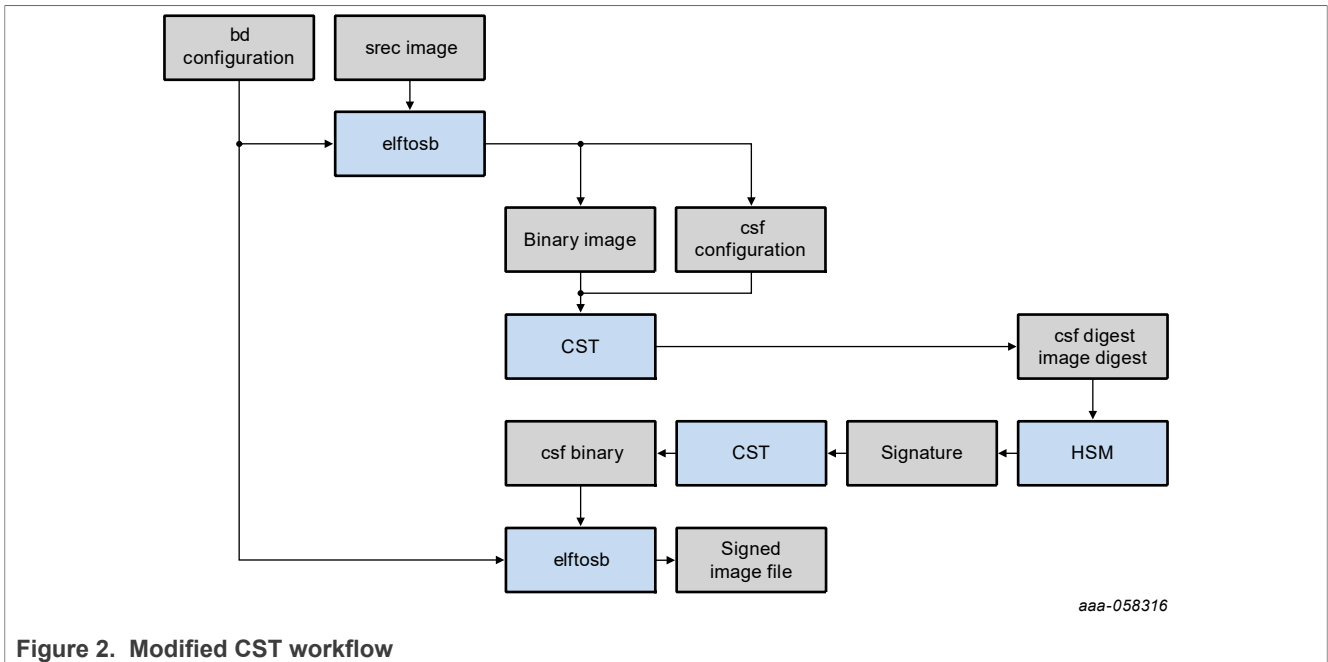


Figure 2. Modified CST workflow

2.2 Get sources

User can search CST and download the Code Signing Tool package from <http://www.nxp.com>. The latest version is CST v3.4.0.

2.3 Change sources

The CST tool has a front-end supporting the NXP proprietary operations. The back-end perform all cryptographic operations related to digital signature generation and encryption and accesses key material directly in the filesystem. The default CST backend uses OpenSSL to perform signature generation and data encryption.

The default CST backend implementation is located at `cst-3.4.0\code\back_end-ssl\src`. The function `gen_sig_data_cms` in the file `adapt_layer_openssl.c` is used to sign the data or image. Users can slightly modify this function to allow for getting the hash of data to be signed and embedding the signatures back into the final binary.

The modified function `gen_sig_data_cms` is as below:

```

int32_t gen_sig_data_cms(const char *in_file, X509 *cert,
    EVP_PKEY *key, hash_alg_t hash_alg,
    uint8_t *sig_buf, size_t *sig_buf_bytes)
{
    FILE *sig_fp = NULL;
    int size = 0;
    char cms_sig_path[] = "./csf_sig.bin";
    int32_t err_value = CAL_SUCCESS;

    if (strcmp(in_file, "csfsig.bin") == 0)
    {
        FILE *hashFile = NULL;
        uint8_t *hash;
        int hash_bytes = HASH_BYTES_MAX;;
    }
}
  
```

```
    hash = OPENSSL_malloc(HASH_BYTES_MAX);
    /* Generate hash of data from in_file */
    err_value = calculate_hash(in_file, hash_alg, hash, &hash_bytes);
    if (err_value != CAL_SUCCESS) {
        return err_value;
    }
/* Save hash value of CSF data */
    hashFile = fopen("csfhash.bin", "wb");
    fwrite(hash, sizeof(uint8_t), hash_bytes, hashFile);
    fclose(hashFile);
    OPENSSL_free(hash);

    printf("Waiting for signature of CSF\r\n");
}
else if (strcmp(in_file, "imgsig.bin") == 0)
{
    FILE *hashFile = NULL;
    uint8_t *hash;
    int hash_bytes = HASH_BYTES_MAX;

    hash = OPENSSL_malloc(HASH_BYTES_MAX);

    /* Generate hash of data from in_file */
    err_value = calculate_hash(in_file, hash_alg, hash, &hash_bytes);
    if (err_value != CAL_SUCCESS) {
        return err_value;
    }
/* Save image hash value */
    hashFile = fopen("imghash.bin", "wb");
    fwrite(hash, sizeof(uint8_t), hash_bytes, hashFile);
    fclose(hashFile);
    OPENSSL_free(hash);

    strcpy(cms_sig_path, "./img_sig.bin");
    printf("Waiting for signature of image\r\n");
}
else
{
    printf("Unknown in_file!");
}
/* Waiting for the HSM signature */
while ((sig_fp = fopen(cms_sig_path, "rb")) == NULL)
{
    system("pause");
}

fseek(sig_fp, 0, SEEK_END);
size = ftell(sig_fp);
rewind(sig_fp);
fread(sig_buf, sizeof(uint8_t), size, sig_fp);
fclose(sig_fp);

*sig_buf_bytes = size;

return CAL_SUCCESS;
```

The parameter `in_file` keeps the data to be signed. The updated function generates the hash value and saves it as a file, then waits for the signature generated by HSM.

2.4 Compile sources

The README in the CST package explains how to create a build environment using the Dockerfile and build the CST source code.

In this work, it creates a build environment using the **MSYS2** console on the Windows platform with the below steps:

1. Download and install MSYS2.
2. Run MSYS2 MINGW32 and install software gcc, bison, and flex with the below commands:

```
pacman -S bison flex mingw-w64-i686-gcc
```
3. Change `byacc` to `yacc` in file `cst-3.4.0\code\build\make\init.mk`.
4. Run the below command under the CST root folder to initiate the build process:

```
OSTYPE=mingw32 make install
```

The CST makefile downloads, unpack, configure, and build OpenSSL version 3.2.0 in the current directory. User can locate Openssl with environment variable `OPENSSL_PATH` in the next build as below:

```
OSTYPE=mingw32 make install OPENSSL_PATH="./openssl-mingw32"
```

The build result `cst.exe` is located in the directory `cst-3.4.0\build\mingw32\bin`.

2.5 Prepare the secure boot image

A command sequence description file is parsed and processed by the CST application. It generates a binary file containing the command sequence file commands (valid only for HAB), certificates, and signatures, which are interpreted by ROM. The user can use CST directly. Here, we use the `elftosb` tool instead of CST. The `elftosb` can generate the description file, then pass this file to CST, and call CST to get the final signed image.

It takes the RT1170 as an example. To generate a signed bootable image using the `elftosb`, the steps are as below:

2.5.1 Building application executable

To build an SREC format application executable, refer to section 4.3.1 of *How to use HAB secure boot in i.MX RT10xx* (document AN12681). For the user of MCUXpressoIDE, change the macro `XIP_BOOT_HEADER_ENABLE` to 0 in Settings > Preprocessor in the project. For more details about building an application executable with MCUXpresso, see *Getting Started with MCUXpresso SDK for MIMXRT1170-EVK.pdf* in SDK.

2.5.2 Keys and certificate generation

Users must generate keys and certificates. To generate ecc p256 keys and certificates using the MCUXpresso Secure Provisioning tool, perform the following steps:

1. Retrieve and install the MCUXpresso Secure Provisioning tool from the NXP website.
2. Run this tool, click the button to switch the processor, select MIMXRT11xx. To select a processor from a different family, the user must create a workspace.

Note: The user can open the MCUXpresso Secure Provisioning tool with administrator mode. Otherwise, some important material is not generated.

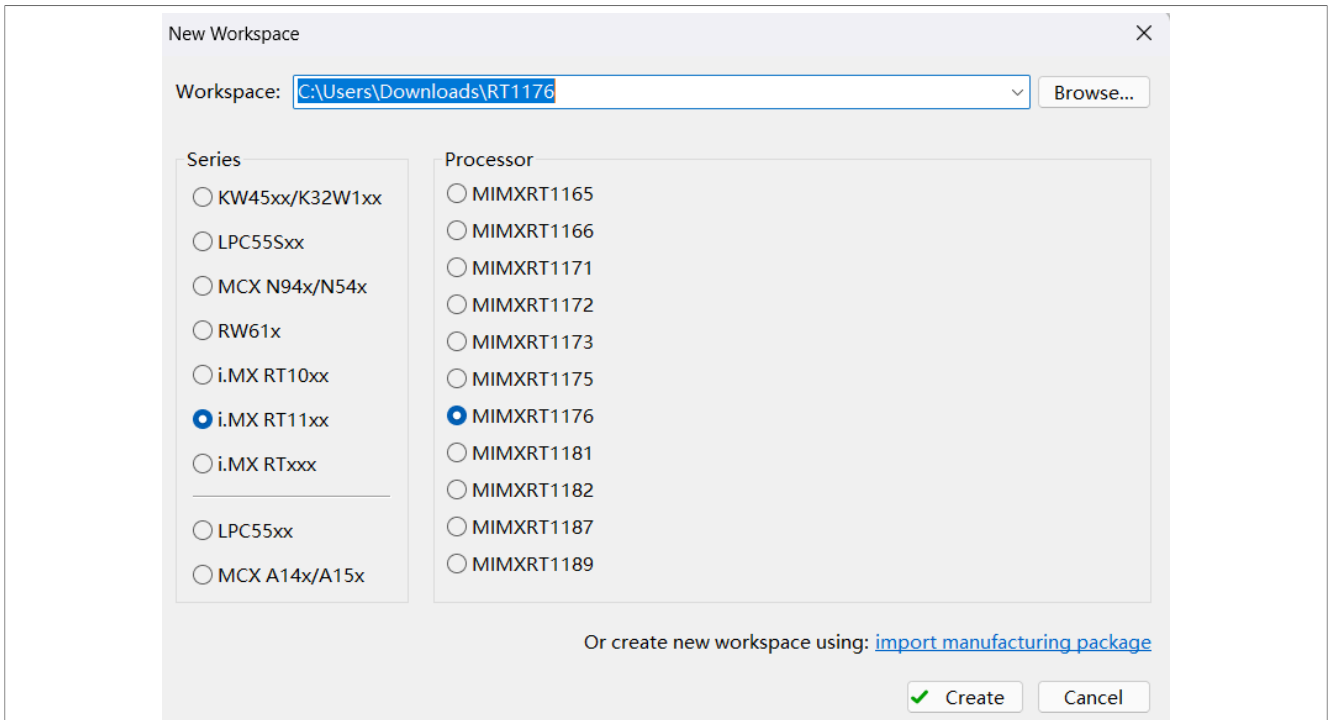


Figure 3. Create a workspace

3. In the **Keys Management** view, click the **Generated keys** button, then specify all parameters in this menu.

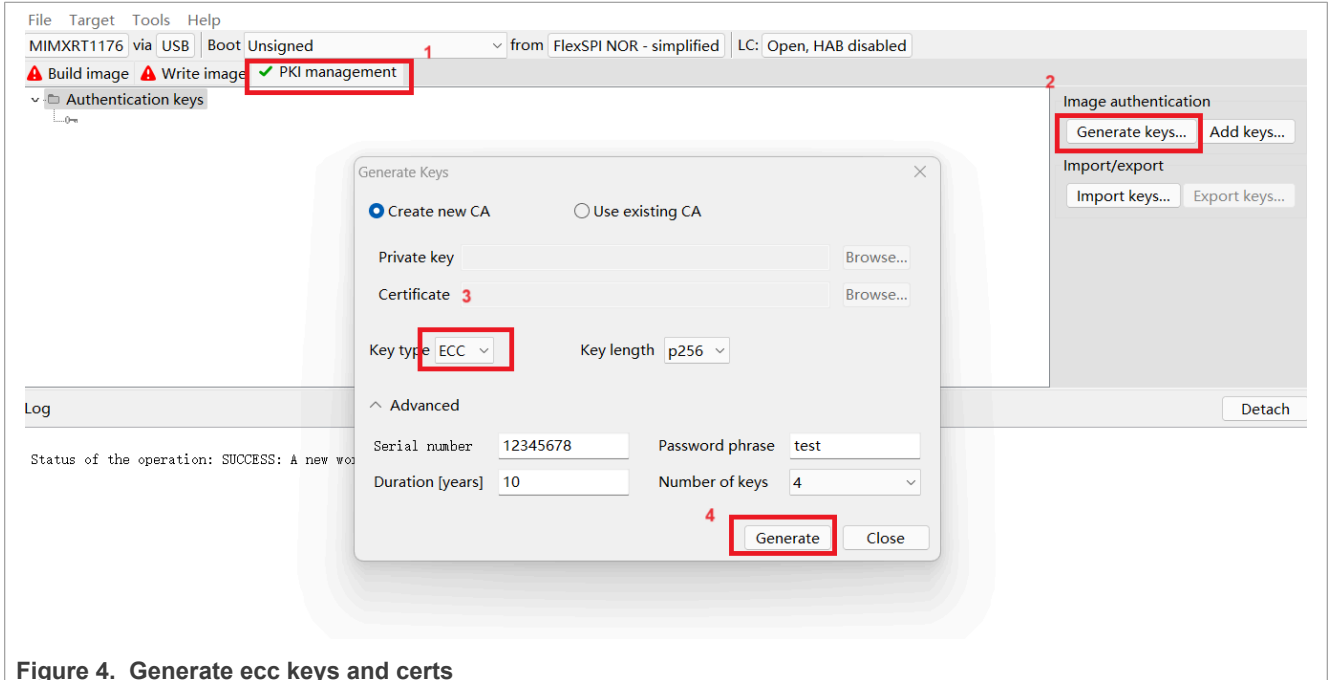


Figure 4. Generate ecc keys and certs

4. The user can find generated keys and certificates in the "keys" and "crt" folder in the workspace directory.

2.6 Create BD file

An example *.bd file for ecc p256 keys and certificates is as below:

```
options {
    flags = 0x08;
    startAddress = 0x30000000;
    ivtOffset = 0x1000;
    initialLoadSize = 0x2000;
}

sources {
    elfFile = extern(0);
}

constants {
    SEC_CSF_HEADER                = 20;
    SEC_CSF_INSTALL_SRK           = 21;
    SEC_CSF_INSTALL_CSFK         = 22;
    SEC_CSF_INSTALL_NOCAK        = 23;
    SEC_CSF_AUTHENTICATE_CSF     = 24;
    SEC_CSF_INSTALL_KEY          = 25;
    SEC_CSF_AUTHENTICATE_DATA    = 26;
    SEC_CSF_INSTALL_SECRET_KEY   = 27;
    SEC_CSF_DECRYPT_DATA          = 28;
    SEC_NOP                       = 29;
    SEC_SET_MID                   = 30;
    SEC_SET_ENGINE                = 31;
    SEC_INIT                      = 32;
    SEC_UNLOCK                    = 33;
}

section (SEC_CSF_HEADER;
    Header_Version="4.2",
    Header_HashAlgorithm="sha256",
    Header_Engine="ANY",
    Header_EngineConfiguration=0,
    Header_CertificateFormat="x509",
    Header_SignatureFormat="CMS"
)
{
}

section (SEC_CSF_INSTALL_SRK;
    InstallSRK_Table="gen_hab_certs/SRK_hash.bin", // "valid file path"
    InstallSRK_SourceIndex=0
)
{
}

section (SEC_CSF_INSTALL_CSFK;
    InstallCSFK_File="crts/CSF1_1_sha256_p256_v3_usr crt.pem", // "valid file
    path"
    InstallCSFK_CertificateFormat="x509" // "x509"
)
{
}

section (SEC_CSF_AUTHENTICATE_CSF)
{
```



```

}

section (SEC_CSF_INSTALL_KEY;
    InstallKey_File="crt/IMG1_1_sha256_p256_v3_usr crt.pem",
    InstallKey_VerificationIndex=0, // Accepts integer or string
    InstallKey_TargetIndex=2) // Accepts integer or string
{
}

section (SEC_CSF_AUTHENTICATE_DATA;
    AuthenticateData_VerificationIndex=2,
    AuthenticateData_Engine="ANY",
    AuthenticateData_EngineConfiguration=0)
{
}

section (SEC_SET_ENGINE;
    SetEngine_HashAlgorithm = "sha256", // "sha1", "Sha256", "sha512"
    SetEngine_Engine = "CAAM", // "ANY", "SAHARA", "RTIC", "DCP", "CAAM" and
    "SW"
    SetEngine_EngineConfiguration = "0") // "valid engine configuration values"
{
}

```

2.7 Generate signed image

To generate a signed bootable image using elftosb, perform the following steps:

1. Retrieve the elftosb package from the NXP website.
2. Copy the SREC application image into the same folder that holds the elftosb executable.
3. Copy the compiling "cst" executable, "crt", "gen_hab_certs", and "keys" folders from the MCUXpresso Secure Provisioning RT1176 workspace to the same folder that holds the elftosb executable.
4. Generate a bootable image using elftosb.

```

elftosb -f imx -V -c .\imx-flexspinor-normal-signed.bd -o .\iled_blinky.bin.
\iled_blinky.srec

```

As we change the CST source, the tool generates `imghash.bin` and wait for the signature of the image first. After we provide a signature binary file `img_sig.bin`, press any key to go ahead. The CST generates the hash value of CSF data `csfhash.bin` and wait for the signature of it. The procedure completes after providing CSF signature data `csf_sig.bin`.

After the above operation, there are two bootable images generated by elftosb.

- The first one is `iled_blinky.bin`. The memory region from 0 until `ivt_offset` is fill with padding bytes (all 0x00s).
- The second one is `iled_blinky_nopadding.bin`, which starts from `ivt_offset` directly.

For test, user can use the below openssl command to generate the signature.

```

openssl cms -sign -nosmimecap -nocerts -partial_chain -digest <hash
value> -passin file:keys/key_pass.txt -inform pem -outform der
-signer ./crt/IMG1_1_sha256_p256_v3_usr crt.pem -inkey ./keys/
IMG1_1_sha256_p256_v3_usr_key.pem -out img_sig.bin

```

```

openssl cms -sign -nosmimecap -nocerts -partial_chain -digest <hash
value> -passin file:keys/key_pass.txt -inform pem -outform der

```

```
-signer ./crts/CSF1_1_sha256_p256_v3_usr crt.pem -inkey ./keys/CSF1_1_sha256_p256_v3_usr_key.pem -out csf_sig.bin
```

Note: *Openssl parameter digest provides the hash value in hexadecimal form and openssl provides -digest starting from v3.2.*

3 Using SPSDK

This section describes how to set up signature provider and build an image signed by the signature provider with the "nxpimage" tool. The nxpimage in spsdk is a tool for generating TrustZone, master boot image, and secure binary images. This tool is used to create various kinds of NXP images. The configuration file for nxpimage contains all possible configuration settings.

SPSDK uses a simple flask REST API service representing the remote HSM machine. However, in the real world, it is expected that the implementation is changed by communication with hardware HSM module or custom HTTPS communication to a server. We have used the secp256r1 key type in this work.

3.1 Signature provider workflow

Figure 5 describes a workflow for signing an image with a signature provider. The nxpimage tool sends requests to the signature provider. The signature provider should pass the request to an HSM or server and then pass the response back to the spsdk tool.

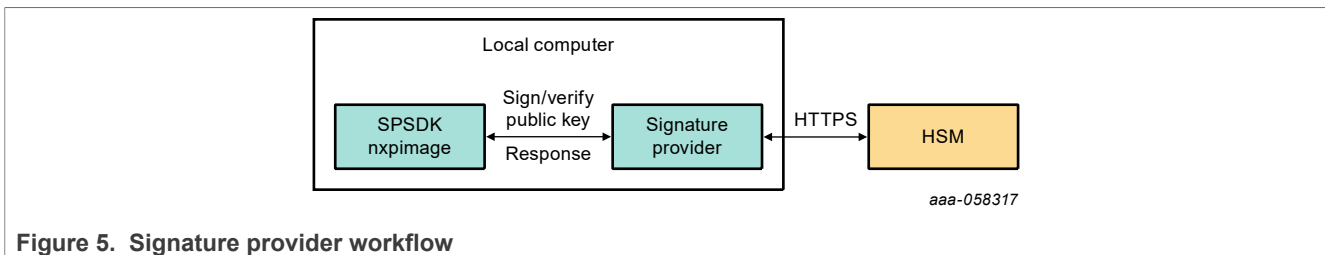


Figure 5. Signature provider workflow

3.2 Set up config file

The configuration file is needed for successful generation of signed image using the nxpimage application.

Two configuration settings, *signPrivateKey* and *signProvider*, are used to control if nxpimage signs the image with a local private key or using remote signing. These two configuration values are mutually exclusive, so only one can be chosen.

In the config file, the field `AuthenticateData_SignProvider` is used to set image private key file. The field `AuthenticateCsf_SignProvider` sets private key file for CSF data.

The configuration value format is "type=<sp_type>;<key1>=<value1>;<key2>=<value2>;..."

The `sp_type` is super awesome signature provider (sasp), which is defined in the custom signature provider (plugins/sasp.py).

The example for `AuthenticateData_SignProvider` is as below:

```
AuthenticateData_SignProvider:
type=sasp;key_number=1;key_type=secp256r1
```

There is an RT1176 HSM example project that includes an example config file `rt1176_xip_signed.yaml`. User can unzip the project and copy folder RT1176 to folder `spsdk\examples\signature_provider`.

3.3 Install SPSDK

For SPSDK, ensure to have Python 3.9+ installed.

To install the SPSDK from source code, create a virtual Python environment using the console command window:

1. Create a virtual environment (for example, venv):

```
python -m venv <name>
```

2. Activate the virtual environment (for windows):

```
<name>\Scripts\activate
```

3. Download the SPSDK source code:

```
git clone https://github.com/nxp-mcuxpresso/spsdk.git
```

4. Enter the folder spsdk and install SPSDK from the source code.

```
pip install -U -e
```

For other platform, refer to the installation guide available at: <https://spsdk.readthedocs.io/en/latest/usage/installation.html>.

3.4 Install jupyter

Jupyter notebook is a web-based interactive development environment. We provide jupyter notebooks as an interactive documentation.

Install additional development requirements using the below command to run jupyter notebooks:

```
pip install spsdk[examples]
```

3.5 Set up HSM

HSM example in SPSDK does not enable `secp256r1` key type and the private keys are encrypted in the procedure of generation. So, there are two changes in the file `spsdk\examples\signature_provider\common\hsm\sahsm.py`.

The first change is to enable `secp256r1` key type in the HSM demo as below:

```
SUPPORTED_KEY_TYPES = ["rsa2048", "secp256r1", "secp384r1"]
```

The second change is to set a password to decrypt private keys correctly. Change is in the function `_load_private_key`.

```
private_key = PrivateKey.load(private_key_file, 'test')
```

In the HSM example, the private key name format is `hsm_k{num}_{key_type}.pem` (for example, `hsm_k0_secp384r1.pem`).

The signature provider passes 'num' and 'key type' parameters to HSM. So, a user should rename private keys and copy them to the folder `spsdk\examples\signature_provider\common\hsm`.

For example, rename `CSF1_1_sha256_p256_v3_usr_key.pem` to `hsm_k0_secp256r1.pem` and rename `IMG1_1_sha256_p256_v3_usr_key.pem` to `hsm_k1_secp256r1.pem`. The keys are generated in [Section 2.5.2](#).

3.6 Generate signed image

This section describes how to use a custom remote signing service for generating a signed image using the nxpimage tool.

To generate a signed bootable image, perform the following steps:

1. Copy user demo image to `spsdk\examples\signature_provider\RT1176\data_img\`. The attached project provides a demo project `evkbmimxrt1170_hello_world_demo_cm7.s19`.
2. Copy the 'crts' and 'gen_hab_certs' folders from the MCUXpresso Secure Provisioning RT1176 workspace to the folder `spsdk\examples\signature_provider\RT1176\data_img\`.
3. Launch jupyter notebook in python virtual environment with below command:

```
jupyter notebook
```

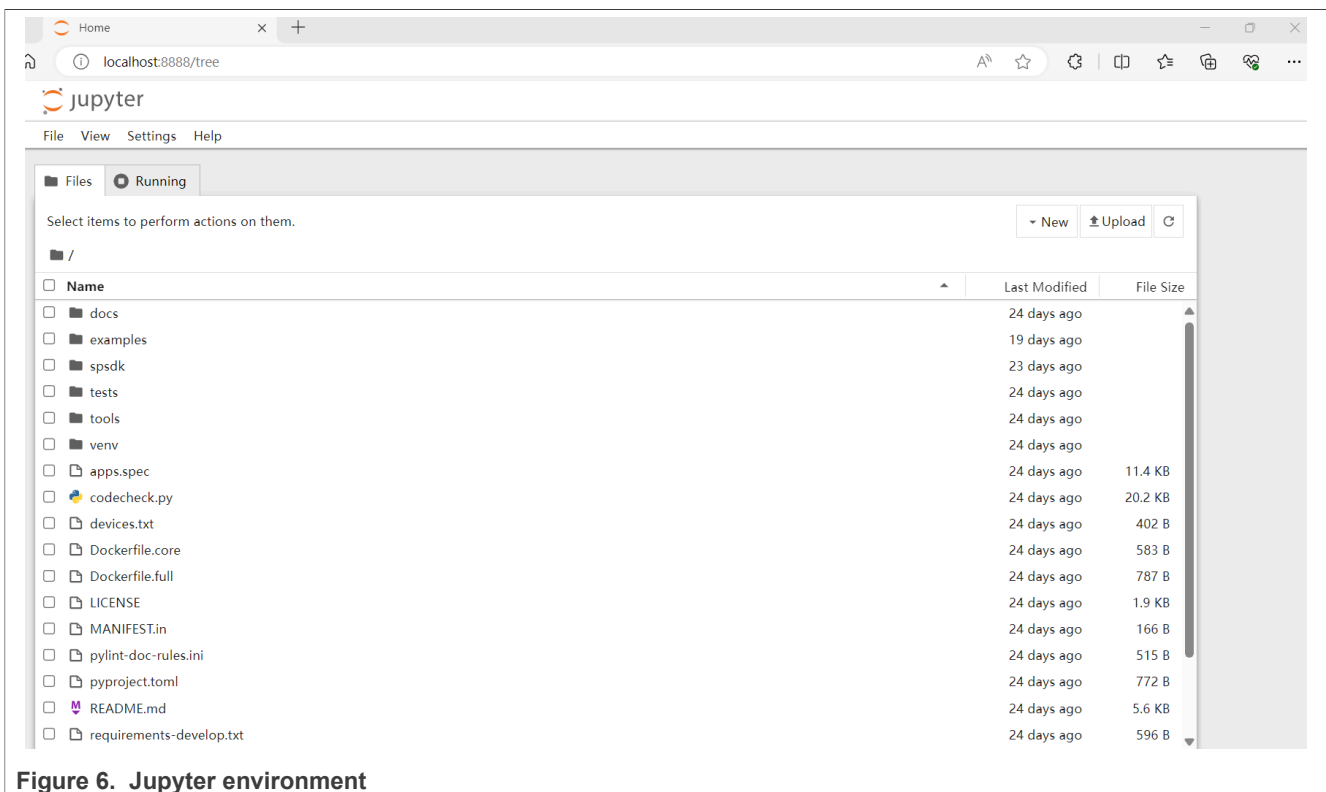


Figure 6. Jupyter environment

4. Navigate to `examples\signature_provider\common` and open `signature_provider.ipynb`.

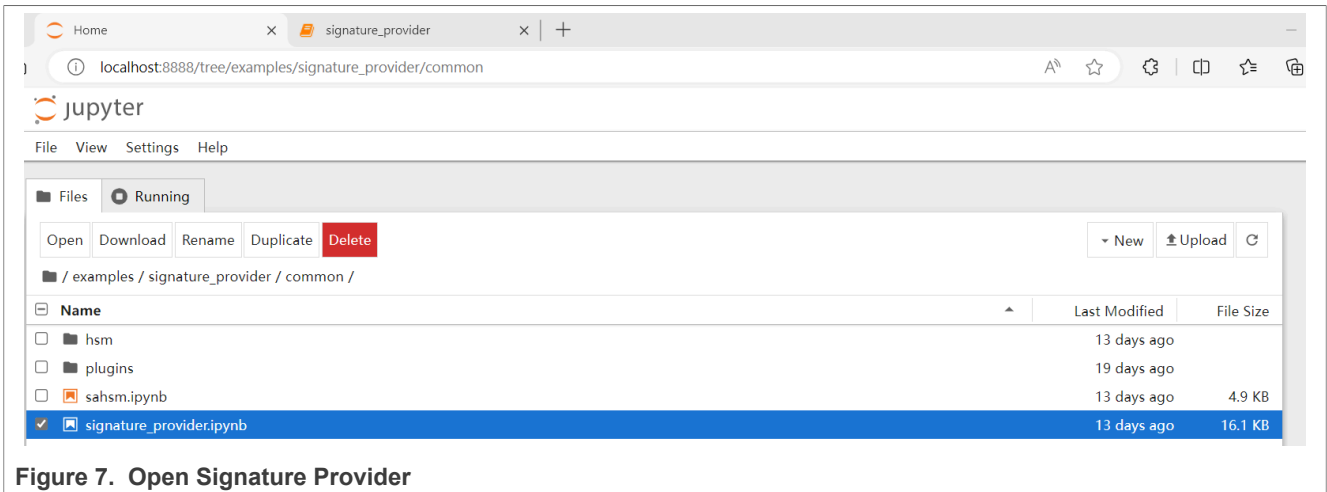


Figure 7. Open Signature Provider

5. Press shift+enter to run the first cell to set up the Signature Provider plugin.

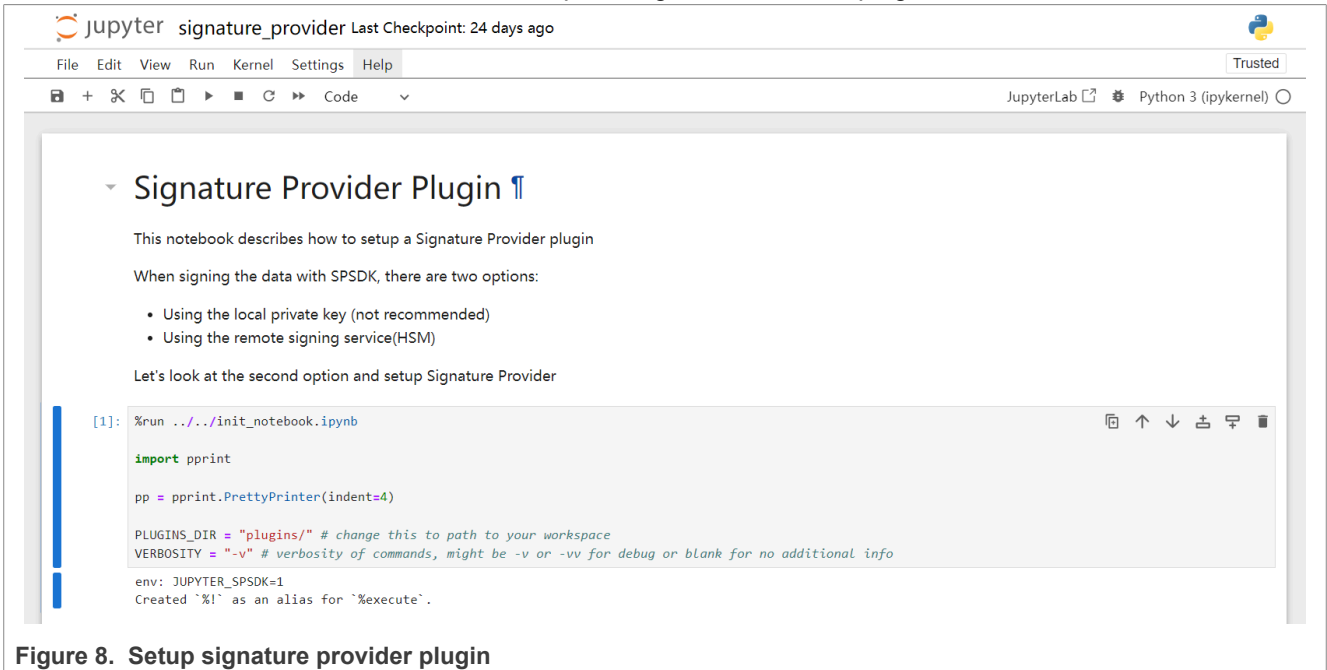


Figure 8. Setup signature provider plugin

6. Open examples\signature_provider\common\sahsm.ipynb and start the custom HSM by running the cell.

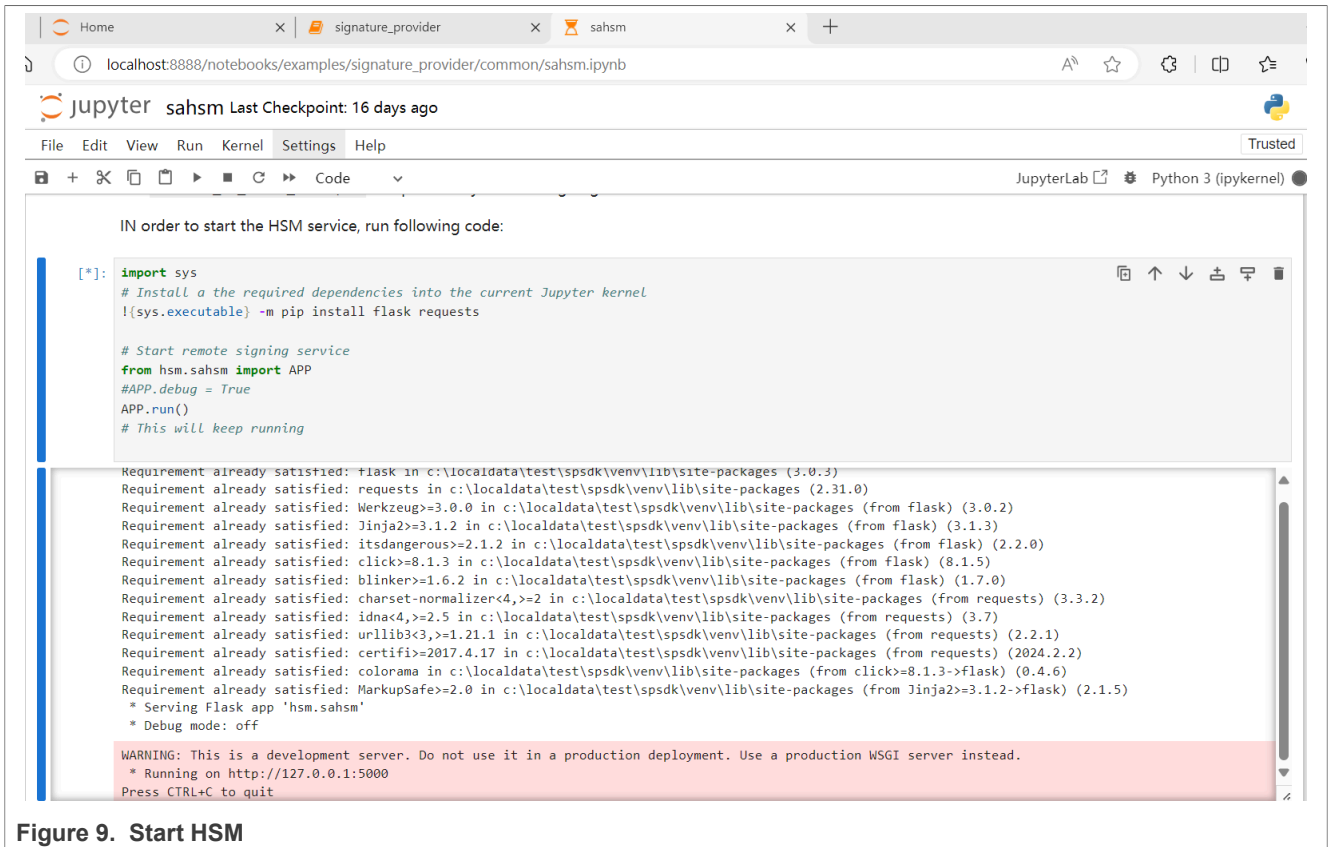


Figure 9. Start HSM

7. Switch to signature_provider.ipynb. Run below cell to set the environment variable SASP_PLUGIN for Signature Provider plugin.



Figure 10. Set Signature Provider plugin env variable

After changing _load_private_key function in Section 3.5, the test for the functionality of HSM in this notebook is failed as the SPSDK test private keys is not encrypted.

8. Navigate to examples\signature_provider\RT1176 and open rt1170_hab.ipynb.
9. In rt1170_hab.ipynb, run the first cell to initiate the notebook.

Sign image with Signature Provider for rt1170

This notebook describes how to use a custom remote signing service for generating signed image using *npximage* tool.

```
[1]: %run ../../init_notebook.ipynb

import pprint
import os

pp = pprint.PrettyPrinter(indent=4)

plugins_dir = '../common/plugins/'

SASP_PLUGIN = os.path.join(plugins_dir, 'sasp.py')
WORKSPACE = "workspace/img" # change this to path to your workspace
DATA_DIR = "data_img/" # change this to path to your workspace
VERBOSITY = "-vv" # verbosity of commands, might be -v or -vv for debug or blank for no additional info

env: JUPYTER_SPSDK=1
Created '%!' as an alias for '%execute'.
```

Figure 11. Initiate the notebook

- Run the second cell to generate a configuration template and set the path for the demo configuration file `rt1176_xip_signed.yaml`.

```
: import os
from spsdk.util.misc import load_file

%! npximage $VERBOSITY hab get-template --output $WORKSPACE/rt1176_xip_signed.yaml --force

CONFIG_PATH = os.path.join(WORKSPACE, "rt1176_xip_signed.yaml")
# just for verification that the template was generated
assert os.path.exists(CONFIG_PATH)

config_content = load_file(CONFIG_PATH)
print(config_content)
```

Figure 12. Show template file

Note: Template is available at `workspace\img` folder. The configuration file `data_img/rt1176_xip_signed.yaml` is used in this example.

- Run the third cell to copy all the working files from folder `data_img` to folder `workspace\img`.

```
import shutil

# Remove template file
shutil.rmtree(WORKSPACE)
# Copy working files needed for masterboot image creation
shutil.copytree(DATA_DIR, WORKSPACE)

pp.pprint(f"All files are ready in folder '{WORKSPACE}'")

"All files are ready in folder 'workspace/img'"
```

Figure 13. Copy working files

Note: User may need to update the `item.entryPointAddress` in the configuration `yaml` file for the user image.

- Run the last cell to generate the signed image.

```
%! npximage $VERBOSITY hab export --plugin $SASP_PLUGIN -c $CONFIG_PATH -o $WORKSPACE/hello_world_demo_cm7_hab.bin

# check if the signed image exists
output_file = os.path.join(WORKSPACE, "hello_world_demo_cm7_hab.bin")
assert os.path.exists(output_file)

npximage -v hab export --plugin ../common/plugins/sasp.py -c workspace/img/rt1176_xip_signed.yaml -o workspace/img/hello_world_demo_cm7_hab.bin
Success. (HAB container: C:\LocalData\Doc\vendor\NXP\working\spsdk\examples\signature_provider\RT1176\workspace\img\hello_world_demo_cm7_hab.bin create d.)
```

Figure 14. Generate the signed image

As the command line shows, the output image is `hello_world_demo_cm7_hab.bin` at the folder `workspace`.

4 References

- Code-Signing Tool User's Guide
- How to use i.MXRT Security Boot (document AN12079)
- How to use HAB secure boot in i.MX RT10xx (document AN12681)
- SPSDK Signature Provider doc: https://spsdk.readthedocs.io/en/latest/examples/signature_prov.html

5 Note about the source code in the document

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6 Revision history

[Table 1](#) summarizes the revisions to this document

Table 1. Revision history

Document ID	Release date	Description
AN14471 v.1.0	4 November 2024	Initial public release

Legal information

Definitions

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Using Hardware Security Module for Code Signing

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